Facilitation displaces hotspots of diversity and allows communities to persist in heavily stressed and disturbed environments

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Abstract

**Question:** What are the interacting effects of stress and disturbance on both competition and facilitation, and ultimately their impact on diversity and species ranges?

**Location:** Simulated data.

**Method:** We extended the spatially explicit model of Xiao et al. (*Oikos*, 118, 2009, 1343) to consider how stress and disturbance – operating alone or together – affect species distributions through varying biotic interactions.

**Results:** In the absence of facilitation, species ranges only occurred within the limits of a triangular zone, which can be considered equivalent to Grime’s C-S-R triangle. Competitive species were distributed in low stress and disturbance conditions. Stress- and disturbance-tolerant species occurred in more stressed and disturbed environments. Species richness followed a hump-shaped relationship with a hotspot of diversity occurring close to the centre of the C-S-R triangle. In contrast, facilitation was able to dampen the negative impacts of stress and disturbance acting either solely or simultaneously, but this influence was not simply the sum of the effects of the processes operating independently on the two environmental gradients. Facilitation extended species distributions along both the stress and disturbance gradients and displaced the hotspot of diversity into the centre of the response surface.

**Conclusions:** Facilitation was able to promote the occurrence and persistence of communities in highly stressed and disturbed conditions, i.e. allowing a stable community to exist beyond the limits of the C-S-R triangle. We also showed that facilitation can be an important mechanistic driving the displacement of hotspots of diversity from benign toward intermediate stressed and disturbed environments.
**Introduction**

Facilitation can affect species richness of plant communities (Hacker & Gaines 1997; Bruno et al. 2003; Michalet et al. 2006; Brooker et al. 2008) by alleviating the impacts of stress (i.e. factors limiting plant development such as water or nutrient limitation, *sensu* Grime 1973, 1974) or disturbance (drastic events removing plant biomass such as mowing or grazing) on species that would otherwise be intolerant to the local conditions (Hacker & Gaines 1997). This enlargement of species realized niches (Bruno et al. 2003) enables increased species ranges and thus – at some points along environmental gradients – enhanced community richness, effectively ‘pulling in’ species that would otherwise be intolerant of the prevailing environmental conditions (Hacker & Gaines 1997; Bruno et al. 2003; Liancourt et al. 2005; Michalet et al. 2006; Gross et al. 2010). However, recent studies have also shown that facilitation may in some circumstances reduce diversity by promoting the survival of competitive species (Gross et al. 2009; Xiao et al. 2009). In addition, as the effect of facilitation is not constant along environmental severity gradients (Bertness & Callaway 1994; Brooker & Callaghan 1998; Homgren & Scheffer 2010; Malkinson & Tielbörger 2010), its influence on species ranges and hence richness is likely to strongly depend on prevailing environmental conditions (Michalet et al. 2006; Brooker et al. 2008).

Facilitation is acknowledged to play a stronger role in regulating plant community composition in more stressed and disturbed conditions than in benign environments (Bertness & Callaway 1994; Hacker & Gaines 1997). A number of studies have also reported that facilitation can either wane or switch to competition at very high levels of stress (e.g. Maestre & Cortina 2004; Maestre et al. 2005; Anthelme et al. 2007) or disturbance (Brooker et al. 2006; Smit et al. 2007; Le Bagousse-Pinguet et al. 2012a). Thus, facilitation is likely to be important for regulating diversity in plant communities subjected to intermediate to high levels of stress or disturbance (Hacker & Gaines 1997; Michalet et al. 2006).

However, although some studies have focused on stress or disturbance gradients independently (Grime 1973; Hacker & Gaines 1997; Bruno et al. 2003; Michalet et al. 2006; Xiao et al. 2009), or have considered them to be negatively correlated (Bertness & Callaway 1994), environmental severity gradients are often complex in nature, including direct factors which, although potentially interacting to regulate outcomes at the community level, fluctuate independently. For example, disturbance processes can occur along the full length of a stress gradient, with their severity being independent of the level of stress (e.g. Eskelinen 2008; Veblen 2008; Anthelme & Michalet 2009). Modelling studies have have been used to study the interplay of facilitative and competitive interactions along single ‘severity’ gradients (Travis et al. 2005, 2006; Xiao et al. 2009), to evaluate the effects of both biotic interactions and environmental change on species distributions (Brooker et al. 2007), the effects of species pool, dispersal and competition on the diversity–productivity relationship (Xiao et al. 2010), and to test the evolutionary consequences of facilitative interactions on species composition (Michalet et al. 2011; Liancourt et al. 2012). But they have not been used previously to address the challenge tackled here, specifically to examine how stress and disturbance – operating alone or together – affect species diversity and distributions through the regulation of biotic interactions. In particular, we aim to test whether these regulating factors, although operating independently, might have either additive (Zavaleta et al. 2003) or non-additive effects on species diversity and distributional ranges (Brooker et al. 2008), and whether potential non-additive effects can be mediated by biotic interactions. We do not have any *a priori* hypothesis regarding the potential interactive effects of stress and disturbance. However, we expect that (1) facilitation will promote species richness by dampening the negative effects of stress and disturbance either operating alone or together (Hacker & Gaines 1997; Michalet et al. 2006), and that (2) facilitation will also enlarge species ranges, particularly in highly stressed and disturbed environments (Hacker & Gaines 1997; Bruno et al. 2003).
We frame our study within the concepts of one of the key models of plant community ecology, Grime’s (1974) C-S-R strategy theory: we consider how the effects of plant interactions alter the composition of communities in terms of the representation of different plant strategies. Our study explores how plant interactions modulate species diversity and ranges (outcome of the model) with respect specifically to Grime’s (1974) C-S-R triangular ordination.

**Model description**

Simulations were performed in NetLogo (Northwestern University, Evanston, IL, US; Chu et al. 2008), a powerful multi-agent modelling language particularly well suited for modelling complex systems developing over time. The model description generally follows the overview, design concepts and details (ODD) protocol for individual-based models (Grimm et al. 2006). We used in this study a very similar model to those exploring the interplay of competition and facilitation along environmental severity gradients (Xiao et al. 2009), the role of biotic interactions and dispersal on the diversity–productivity relationship (Xiao et al. 2010) and the evolutionary consequences of facilitation on species composition (Michalet et al. 2011). Specifically, we aimed in this study to disentangle the ‘environmental severity gradient’ used in the spatially explicit simulation model of Xiao et al. (2009) into stress and disturbance.

The lattice has a size of 500 x 500 cells. Within the lattice we located 100 square ‘sampling plots’, each of 50 x 50 cells. It is important to note that the lattice, by incorporating independently functioning axes of stress and disturbance, represents Grime’s (1974) C-S-R triangle. We necessarily extended it to incorporate areas with high levels of stress and disturbance – that lie outside of the coverage of the original triangle – to test whether facilitation can enable species to persist in these conditions.

All the simulations were run for 50 000 time steps in order to allow the community to reach a steady state (Appendix S1). Species richness was determined for every age of the original triangle

The reproductive rate \( r_i \) of species \( i \) (r) is defined as:

\[
r_i = r_{\text{min}}/(1 - \epsilon a_{Si})
\]

where \( \epsilon \) is a constant that is positive and smaller than 1, and \( r_{\text{min}} \) is the minimum value of a species reproductive rate, which is always smaller than 1. Therefore the reproductive rate of species will increase with increasing \( a_{Si} \) values.

Within the model, stress and disturbance (\( S \) and \( D \), respectively) directly impact on adult survival and mortality. The species stress tolerance \( a_{Si} \) is defined as the ability
of species $i$ to survive under a given level of stress. Thus the survival rate of species $i$ ($su_i$) is defined as:

$$su_i = 1 - (1 - b aSi) S$$

where $b$ is a constant that is positive and smaller than 1, and $su_i$ is positive and smaller than 1. The survival rate of a species with high $aSi$ will decrease more slowly with increasing stress than will that of a species with a low $aSi$. Note that the presence of neighbours does not increase the level of stress experienced by an individual as might occur in the case of resource competition; competition within the model is for establishment space only.

According to the stress gradient hypothesis (Bertness & Callaway 1994; Brooker & Callaghan 1998), facilitation received by beneficiary species increases with increasing stress. In the model, facilitation increases species survival rates along the stress gradient. However, facilitation also wanes in the most severe conditions (Michalet et al. 2006), from a threshold stress level ($S_m$) to be equal to zero when $S = 1$ (Fig. 1a; see Xiao et al. 2009). Therefore, when facilitation is included in our model, the survival rate of species $i$ along the stress gradient is defined as:

$$su_i = 1 - (1 - b aSi) S + fS S$$

$\text{when } S > S_m$

where $fS$ is a constant, which is larger than zero, and indicates the intensity of the positive effect received by a target species $i$ from its neighbours along the stress gradient. When $fS = 0$, there is no facilitation. According to simulation results of Xiao et al. (2009), a value of $fS = 0.5$ indicates intense facilitation. To better illustrate the impact of facilitation on the survival rate of species $i$ along the stress gradient, we used arbitrarily different intensities of facilitation as following: no facilitation ($fS = 0$), low facilitation ($fS = 0.1$), medium facilitation ($fS = 0.3$) and intense facilitation ($fS = 0.5$).

In our model, facilitation reduces disturbance-induced mortality. Species mortality rate due to disturbance ($d$) increases with increasing disturbance ($D$). Facilitation received by beneficiary species also increases with increasing disturbance (Bertness & Callaway 1994; Hacker & Gaines 1997; Brooker & Callaghan 1998). Facilitation also wanes in highly disturbed conditions because beneficiary species cannot induce any facilitative effect (Brooker et al. 2006; Le Bagousse-Pinguet et al. 2012a). For example, increased herbivore search effort induces a decrease in a benefactor’s protection in very heavily browsed systems (Brooker et al. 2006; Smit et al. 2007). Disturbance facilitation declines in the model from a threshold disturbance level ($D_m$) to be equal to zero when $D = 1$ (Fig. 1b; Xiao et al. 2009). Therefore, when facilitation is included in our model, the mortality rate of species $i$ along the disturbance gradient can be defined as:

$$d_i = (1 - fD) D$$

$\text{when } D < D_m$

$$d_i = ((1 - (1 - fD)D_m) D - fDD_m)/(1 - D_m)$$

$\text{when } D > D_m$

where $fD$ is a constant that is larger than zero and indicates the intensity of the positive effect received by a target species $i$ from its neighbours along the disturbance gradient. When $fD = 0$, there is no facilitation. In contrast, a value of $fD = 0.5$ indicates intense facilitation (Xiao et al. 2009). To better illustrate the impact of facilitation on the mortality rate of species $i$ along the disturbance gradient, we used the following intensities of facilitation: no facilitation ($fD = 0$), low facilitation ($fD = 0.1$), medium facilitation ($fD = 0.3$) and intense facilitation ($fD = 0.5$).

We consider in the model only inter-specific facilitation, which occurs when other species are present in the target species neighbourhood (Xiao et al. 2009), and we assume for simplicity that values of both $fS$ and $fD$ are the same for all species. Variation of $su_i$ along the stress gradient and variation of $d_i$ along the disturbance gradient, both with and without facilitation, are shown in Fig. 1a,b, respectively.

During each model time step, each individual within the community experiences first the possibility of mortality due to disturbance (with a mortality probability $d_o$.

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**Fig. 1.** Representation of (a) Survival rate ($su$) and (b) mortality rate ($d$) of species with and without facilitation as a function of stress ($S$) and disturbance level ($D$).
Testing the relationship between disturbance, stress and facilitation

We examined whether stress and disturbance acting together had interactive (synergistic or antagonistic) effects, and whether any such interactions between the two gradients were modified by the occurrence of facilitation. We used community distribution range (DR) as a metric for comparison. The community distribution range (DR) corresponds to the mean values of community range distributions (the number of grid cells occupied by individuals across the whole lattice) calculated from the 1000 time steps after the run of 50 000 start-up steps, i.e. when the community was stabilized. We used the relative interaction index (RII; Armas et al. 2004) to examine the response of DR to the occurrence of either S or D alone or together. We then also compared these differences when facilitation was absent or operating in the model. RII values were calculated using mean values of DR captured at the 1000th time step in each of 1000 replicates for each set of model parameters.

Comparisons were calculated as:

$$RIID = \left( DR_{\text{without Disturbance without Stress}} - DR_{\text{with Disturbance without Stress}} \right) \times (\text{higher value})^{-1}$$

$$RIIDS = \left( DR_{\text{without Disturbance with Stress}} - DR_{\text{with Disturbance with Stress}} \right) \times (\text{higher value})^{-1}$$

$$RIIS = \left( DR_{\text{without Stress without Disturbance}} - DR_{\text{with Stress without Disturbance}} \right) \times (\text{higher value})^{-1}$$

$$RIISD = \left( DR_{\text{without Stress with Disturbance}} - DR_{\text{with Stress with Disturbance}} \right) \times (\text{higher value})^{-1}$$

Potential interacting effects of disturbance and stress can be assessed by comparing values of $RIIDS$ and $RIID$, and between $RIID$ with $D$ and $RIIS$ without $D$.

If $RIIDS = RII_D$ or $RIISD = RII_S$, no interactive effect between stress and disturbance occurs (additive effects).

If $RIIDS > RII_D$ or $RIISD > RII_S$, the presence of either stress or disturbance increases the impact of the other factor on the community range distribution, i.e. it indicates the occurrence of a synergistic effect.

If $RIIDS < RII_D$ or $RIISD < RII_S$, the presence of either stress or disturbance decreases the impact of the other factor on the community range distribution, i.e. it indicates the occurrence of an antagonistic effect.

Results and discussion

In the absence of facilitation, species only occurred within the limits of a triangular zone that can be considered equivalent to Grime’s (1974) C-S-R triangle (Fig. 2a; associated species richness is shown in Fig. 3 as the blue response surface). Competitive species were distributed in low stress and disturbance conditions. Stress- and disturbance-tolerant species occurred in more stressed and disturbed environments, respectively (Fig. 2a). Hotspots of diversity occurred close to the centre of the C-S-R triangle (Fig. 2a black circle; Fig. 3 maximum of the blue response surfaces). Species richness is low under very low stress and disturbance levels due to strong competitive exclusion, and under high stress and/or disturbance due to the intol-
erance of most species to the severe environmental condi-
tions. In this sense, the results of our model match the
expectations of theory (e.g. Grime 1973, 1974; Huston
1994).

The expectations of theory concerning the hump-
shaped variation of diversity along environmental gradi-
ents have been recently discussed (Adler et al. 2011; Frid-
ley et al. 2012). Adler et al. (2011) found no clear
relationship between productivity and species richness
(but see Fridley et al. 2012) and encouraged the use of
modelling approaches to consider multiple interacting fac-
tors on species diversity. By testing how stress and
disturbance, operating alone or together, affect species
diversity, our results confirm the occurrence of a hump-
shaped pattern of diversity.

An interesting output from the model is that disturbance
appears to have a stronger effect on communities than
stress (Fig. 4a,b). Thus, the collapse of diversity is more
Along the disturbance gradient than along the severity gradient (Fig. 2a). This might be because in our model the impacts of disturbance and stress are modelled in different ways. Disturbance is an event equally affecting all individuals and affecting both survival and reproduction (Hastwell & Facelli 2003), whereas stress is a phenomenon acting in our model only on survival (Xiao et al. 2009). Hence, for any given level of these factors, the impact is actually higher for disturbance. Consequently, the collapse of diversity occurs at a lower threshold along the disturbance gradient than along the stress gradient (Fig. 2b,c). Drastic events affecting all species strategies (e.g. overgrazing, fire or mowing) may have a stronger impact on natural plant communities than environmental drivers related to species tolerances (e.g. water stress). Note also that we did not include in our model selective disturbance events (e.g. grazing) that would have affected the outcome of the model. However, as species with particular adaptations might tolerate or avoid disturbances, selective disturbances might have less severe effects on the distributions.

The simulation run indicated that – as shown by previous modelling and field studies – facilitation extends species distributions, and hence the zones that can be occupied by communities, along both the stress and disturbance gradients (Fig. 2b,c). Facilitation has been shown to promote the persistence of intolerant species by alleviating stress (e.g. Hacker & Gaines 1997) or by protecting against disturbance (e.g. Hacker & Gaines 1997; Le Bagousse-Pinguet et al. 2012a), and therefore to enlarge species ecological niches (Bruno et al. 2003; Gross et al. 2010) and consequently ranges, and thus our model is recreating the patterns found in natural systems.
Importantly, however, our study has extended previous modelling work by incorporating multiple species with a range of strategies based upon the C-S-R strategy trade-off model. Our model shows that facilitation promotes the survival of relatively less stress-/disturbance-tolerant species for any given level of S or D (Fig. 2b,c), and thus supports previous theoretical and field studies that have proposed and detected such a process (e.g. Liancourt et al. 2005; Michalet et al. 2006).

We found synergistic effects of the two gradients: the impact of disturbance in the presence of stress (RIISD) was proportionately higher than disturbance alone (RIIS), and the same held true for the impact of stress in the presence and absence of disturbance, i.e. RIISD was greater than RIIS (Fig. 4). Interestingly, our results also showed that facilitation reduced the negative impacts of stress and disturbance occurring either alone or simultaneously: overall RI values were lower in the presence of facilitation, and there was a proportionately smaller synergistic effect (Fig. 4).

The effect of facilitation on individual species translated at the community level into the displacement of the hot-spot (peak) of diversity into the centre of our response surface (Fig. 2d–f: black circles, Fig. 3a,b: red response surfaces), and toward the lower edge (the S-R line) of the C-S-R triangle. To date, no empirical data are available to validate our model predictions. Only a few recent ‘real world’ studies have documented variation in biotic interactions when both stress and disturbance co-occur, but these have not considered their impact on diversity (Eskelinen 2008; Veblen 2008; Anthelme & Michalet 2009; Le Roux & McGeoch 2010; Bullieri et al. 2011). However, our results are in accordance with Grime’s (1974) empirical data from the UK on the characterization of diversity along stress and disturbance gradients, in which maximum diversity occurs midway along the S-R axis (see Fig. 4 in Grime 1974). In Grime’s work, this location in the triangle corresponds to the mesophilous *Bromus erectus* communities (Grime 1974; Al-Mufti et al. 1977), where moderate drought, nutrient deficiency and disturbance are associated with high levels of species richness (Dutoit & Alard 1995; Michalet et al. 2002). In these previous studies competition was the only type of interaction thought to be involved. However, our simulations clearly indicate that it may also be induced in part by facilitation (including the dampening of synergistic effects between the two types of severity). In support of this interpretation, Liancourt et al. (2005) showed that, under a range of contrasting environmental conditions studied in a similar system in the French Alps, facilitation was strongest under this combination of environmental drivers.

One of the most interesting results of our model concerns the highly stressed and disturbed corner of our landscape (Fig. 2). At this location, in the absence of facilitation, species richness is zero (Fig. 2a), supporting the prediction that no species strategies are able to tolerate such high levels of both constraints (Grime 1974). However, increased facilitation enlarged species ranges into highly stressed and disturbed conditions (Fig. 2–d–f) and allowed a stable community to exist beyond the limits of the C-S-R triangle, i.e. beyond the limits of individual species tolerances. As such, facilitation not only promoted the persistence of species that would otherwise be intolerant to these conditions, it also promoted the success of dominant (i.e. stress- or disturbance-tolerant) species (Gross et al. 2009).

This result highlights the potential role of facilitation in enabling the occurrence and maintenance of communities in highly stressed and disturbed environments, as reported in terrestrial (Holmgren et al. 2001; Kéfi et al. 2007; Malkinson & Tielbörger 2010) and aquatic (Schefter & Carpenter 2003; Le Bagousse-Pinguet et al. 2012b) ecosystems. Kéfi et al. (2007) showed, through a combination of field surveying and modelling, that positive interactions could explain the spatial organization of vegetation in three arid Mediterranean ecosystems characterized by high stress (water stress) and disturbance (grazing). However, with increasing disturbance, facilitation could not prevent the decline of vegetation and onset of desertification. Thus, the simultaneous effects of both stress and disturbance may lead to a rapid extinction of plant communities (Holmgren et al. 2001; Kéfi et al. 2007).

Overall, although an inherent assumption of the model consisted of a dampering effect of facilitation on stress and disturbance separately, our results illustrate that facilitation can also limit their synergistic effects, and promote increased species richness. However, these effects of facilitation on diversity are context-dependent, i.e. the effect of facilitation on species diversity changes along disturbance and stress gradients. Consistent with Gross et al. (2009) and Xiao et al. (2009), facilitation decreased species richness in the benign conditions of our model (low stress and disturbance) by promoting dominant competitive species (Fig. 3a,b: red curves) leading to long-term competitive exclusion (Xiao et al. 2009). Conversely, and in concordance with several theoretical models (Hacker & Gaines 1997; Bruno et al. 2003; Michalet et al. 2006; Brooker et al. 2008), facilitation promoted species richness under increased environmental severity (high stress and/or disturbance).

Conclusions

Utilizing concepts from Grime’s C-S-R plant strategy theory, our model has extended previous work of this kind by using a multi-species system to demonstrate the
movement of the zone of highest species richness (as well as simply species ranges) due to facilitation, as well as the potential for communities to occur in highly stressed and disturbed environments, i.e. in environments previously thought to not support any plant strategies.

In addition, our model has suggested synergistic interactions of the stress and disturbance gradients, and the capacity for facilitation to dampen this synergistic effect. The synergism in the current model is the result of emergent model processes, i.e. we have not specifically programmed an interaction between these two gradient types. However, alternative scenarios of interactions between stress and disturbance might occur. For instance, disturbance might reduce the stress level by increasing the resource availability (e.g. by limiting resource competition) and countering negative effects imposed by stress on species distributions. Future modelling could extend the work conducted here by incorporating such direct mechanisms for the interaction of the two types of gradient. Irrespective, the results presented here represent the first attempt to model the interaction of stress and disturbance gradients, the response of species richness and the impacts of facilitation.

Examining the role of facilitation in regulating species richness and distributions along gradients, considered solely or simultaneously, is important not only for our basic understanding of the functioning of natural systems, but also for the management and conservation of ecosystems. For example, the combination of stress and disturbance can modify transitions from one stable state to another, and studying their simultaneous effects is relevant to impeding the extinctions of communities both in terrestrial (e.g. Holmgren et al. 2001; Kéfi et al. 2007) and aquatic (Scheffer & Carpenter 2003; Le Bagousse-Pinguet et al. 2012b) ecosystems. We particularly advocate further modelling approaches manipulating different scenarios of interactions between stress and disturbance to test their effects on variations in biotic interactions and, ultimately, on species richness and distribution.

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Supporting Information

Additional supporting information may be found in the online version of this article:

Appendix S1. The spatial distributions of competitive, stress-tolerant and disturbance-tolerant species along gradients during the modelling progress.